

## UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/834,413 Confirmation No. 3617  
Applicant : Rubbert et al.  
Filed : April 13, 2001  
TC/A.U. : 3732  
Examiner : Ralph A. Lewis  
Docket No. : 01-101  
Customer No. : 000038600  
Title : METHOD AND WORKSTATION FOR GENERATING  
VIRTUAL TOOTH MODELS FROM THREE-DIMENSIONAL  
TOOTH DATA

Director of the USPTO  
Attn: Deposit Accounts  
2501 Jamieson Avenue, Suite 300  
Alexandria VA 22314

## REQUEST FOR REFUND

Sir:

USPTO charged \$1,400.00 for the Issue Fee for the above referenced patent application to the Deposit Account No. 50-2844 on 5/26/06 (see the Monthly Statement dated 5/31/06). However, the Applicants claimed SMALL ENTITY (see attached copy of PTOL-85); so the Issue Fee charge should have been \$700.00. The applicants respectfully request a refund of \$700.00, which should be deposited in the Deposit Account No. 50-2844.

Respectfully submitted.

Date: 3 July 2006By Jasvantrai C. Shah

Jasvantrai C. Shah  
Reg. No. 39,444

CERTIFICATE OF TRANSMISSION UNDER 37 CFR § 1.8: The undersigned hereby certifies that the foregoing REQUEST FOR REFUND is being transmitted by facsimile to number (571) 273-6500 addressed to: Director of the USPTO, Attn: Deposit Accounts, on this 3<sup>rd</sup> day of July 2006.

By Jasvantrai C. Shah

Jasvantrai C. Shah  
Reg. No. 39,444  
01:FC:2501 788.00 DA  
09/25/2006 RCLEMONS 00000006 502844 09834413

OraMetric, Inc.  
2350 Campbell Creek Blvd, Suite 400  
Richardson, Texas 75082

AUG. 3. 2006 4:25PM

ORAMETRIX (972) 728-5600

NO. 4187 P. 2

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
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or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless changed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FREE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

000018600 7590 02/22/2006  
ORAMETRIX, INC.  
2350 CAMPBELL CREEK BOULEVARD, SUITE 400  
RICHARDSON, TX 75082

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying paper. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

## Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

JASVANTRAI C SHAH (Depositor's name)  
Jasvantrai C. Shah (Signature)  
22 May 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/834,413	04/13/2001	Rodger Rubbert	01-101	3617
TITLE OF INVENTION: METHOD AND WORKSTATION FOR GENERATING VIRTUAL TOOTH MODELS FROM THREE-DIMENSIONAL TOOTH DATA				

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	05/22/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
LEWIS, RALPH A	3732	433-024000			

1. Change of correspondence address or indication of "Free Address" (37 CFR 1.333).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Free Address" indication (or "Free Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

McDonnell Boehnen  
Hulbert &  
Berghoff LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

OraMetric, Inc.

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Richardson, TX

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2039 is attached.  
☒ The Director is hereby authorized by check the required fee(s), or credit any overpayment to Deposit Account Number 58-28444 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above).

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Jasvantrai C. Shah

Date 22 May 2006

Typed or printed name JASVANTRAI C. SHAH

Registration No. 39,444

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 121 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTOL-85 (Rev. 01/06) Approved for use through 04/30/2007.

OMB 0551-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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